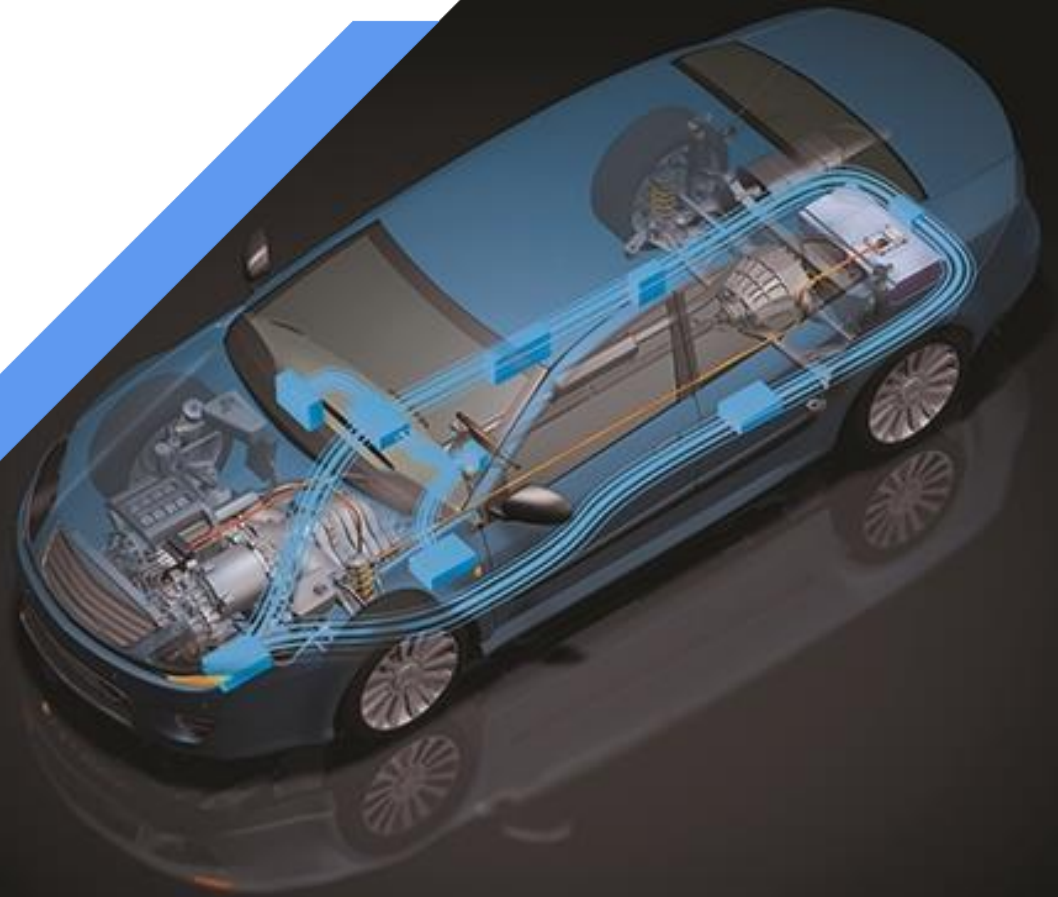


# Safety Argument Framework for Vehicle Autonomy

John Birch  
Chief Engineer  
Functional Safety

May 17

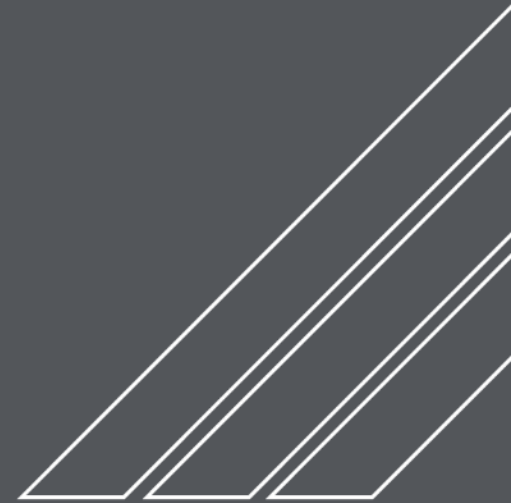


# Agenda

---

- Safety challenges with autonomy
- Value of an explicit safety argument
- MISRA safety argument model
- Safety argument framework
- Concluding remarks

# Safety Challenges with Autonomy

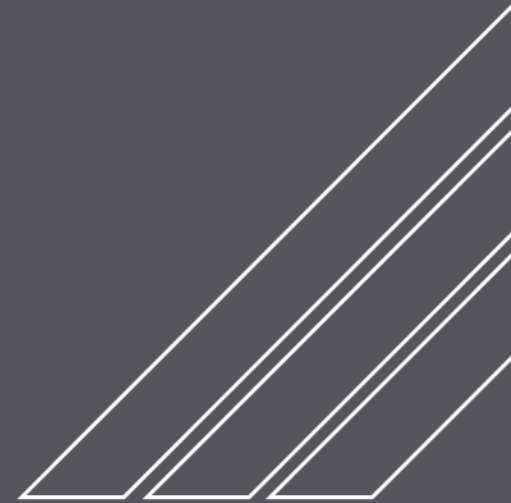


- 
- Safety of the Intended Functionality (SOTIF)
    - Hazardous behaviour not only caused by malfunction
    - Not always clear how system should behave in order to be 'safe'
    - May be required to trade off one form of hazardous behaviour for another
    - Safety challenge is not just technical but also philosophical and ethical
  
  - No clear definition of acceptable risk
    - Even with ongoing exercise to develop the SOTIF PAS (ISO/PAS 21448) in line with ISO 26262 edition 2
  
  - Required technology at odds with existing standards
    - 'Non-deterministic' software

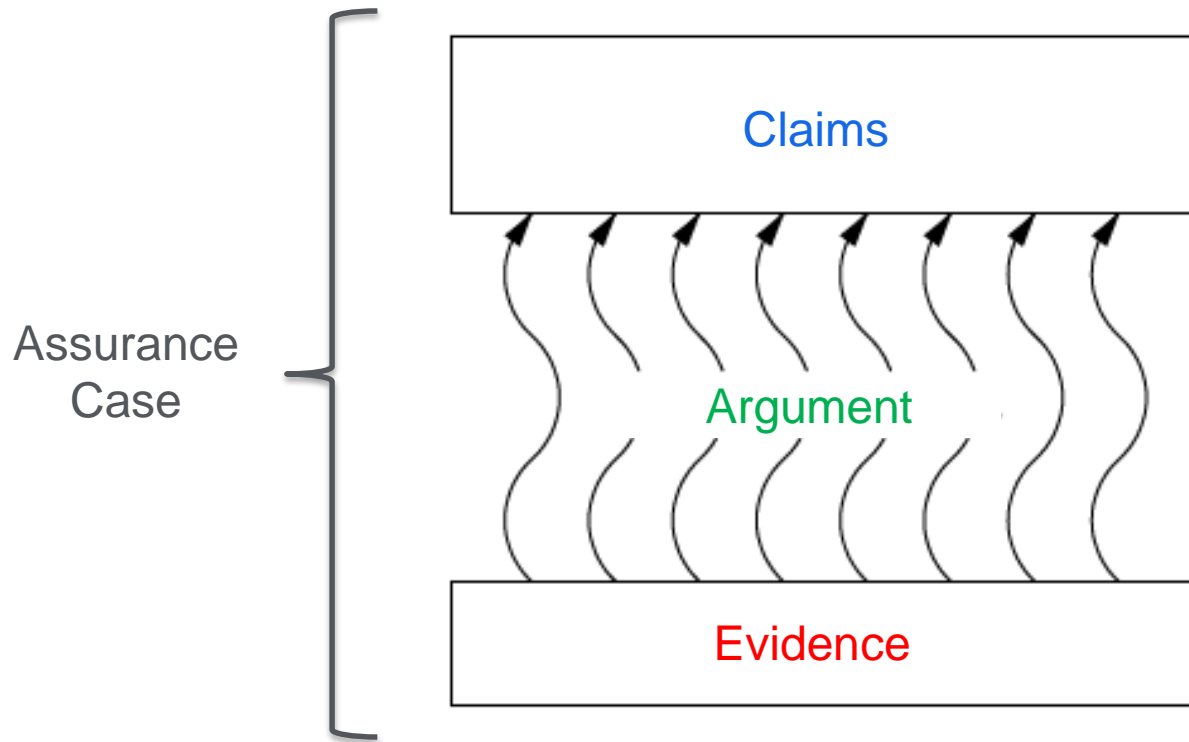
- Safety of the Intended Functionality (SOTIF)
  - Hazardous behaviour not only caused by malfunction
  - Not always clear how system should behave
  - May be required to trade off one form of safety for another
  - Safety challenge is not just technical but also legal and ethical
- No clear definition
  - Even with ISO 26262, we need to develop the SOTIF PAS (ISO/PAS 21448) in line with the standard
- AI/ML technology at odds with existing standards
  - 'Deterministic' software

Whatever the safety argument is, it needs to be written down!

# Value of an Explicit Safety Argument



# Value of an Explicit Safety Argument



Adaption of figure from:  
Kelly, T. P., Arguing Safety – A Systematic Approach to Safety Case Management, DPhil Thesis,  
Department of Computer Science, University of York, UK, 1998

## Claims

*The autonomous vehicle is acceptably safe for use on public roads*

## Argument

## Evidence



## Claims

*The autonomous vehicle is acceptably safe for use on public roads*

## Argument

## Evidence

*Test result showing three million miles of incident-free autonomous driving*

*Successful audit against the requirements of standard x*

## Claims

*The autonomous vehicle is acceptably safe for use on public roads*

## Argument

???

## Evidence

*Test result showing three million miles of incident-free autonomous driving*

*Successful audit against the requirements of standard x*

## Claims

*The autonomous vehicle is acceptably safe for use on public roads*

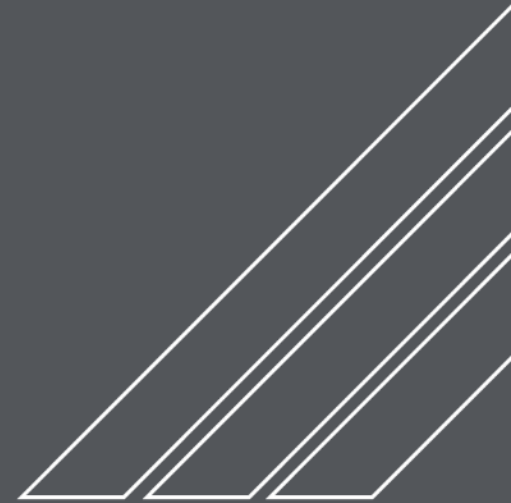
**Evidence without argument is unexplained**

## Evidence

*Showing three million miles of incident-free autonomous driving*

*Successful audit against the requirements of standard x*

# MISRA Safety Case Guidelines: Argument Model



- MISRA (Motor Industry Software Reliability Association) producing a set of guidelines on automotive safety case development
  - Due for publication late 2017
  - Initial scope aligned with ISO 26262 Edition 1
  - Collaborative activity:



**MIRA**

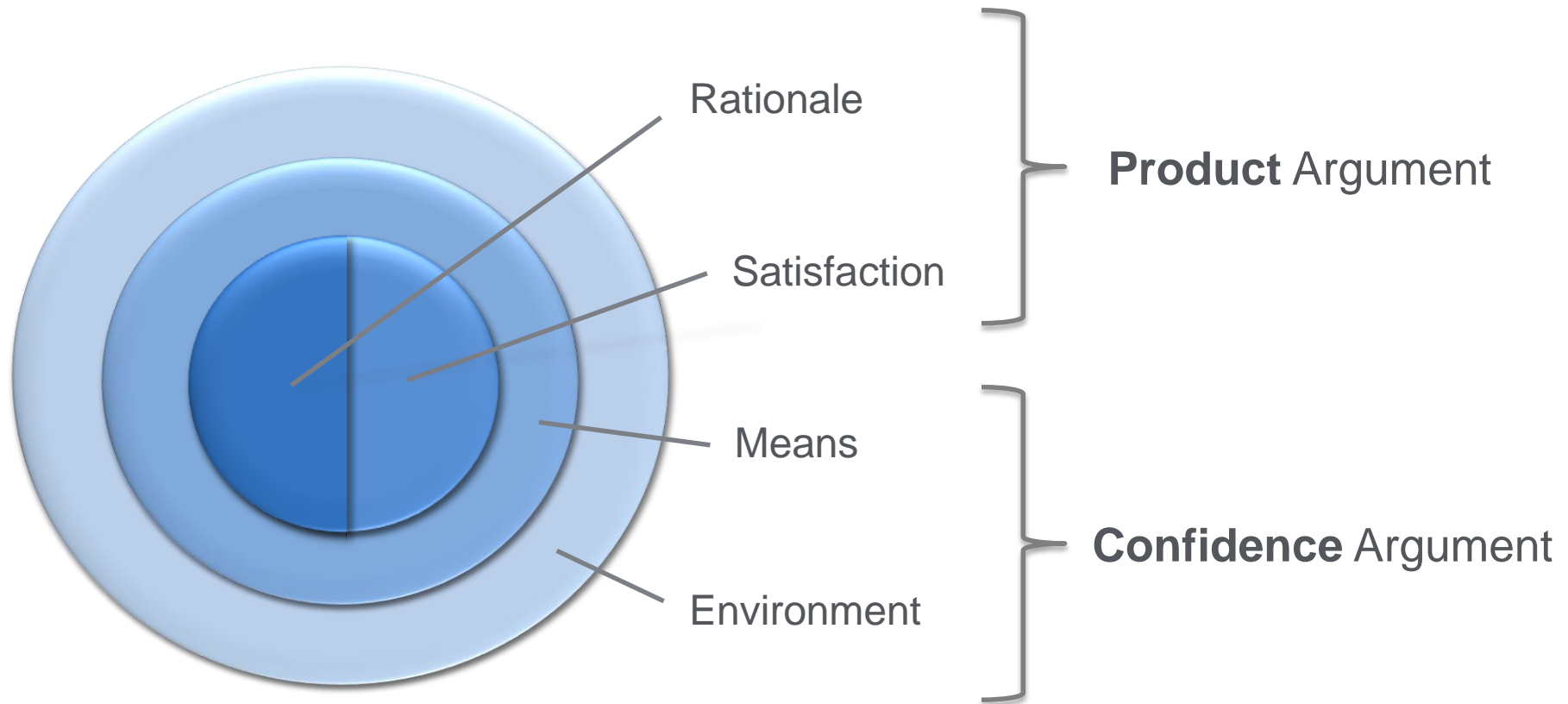
**DELPHI**

UNIVERSITY *of* York

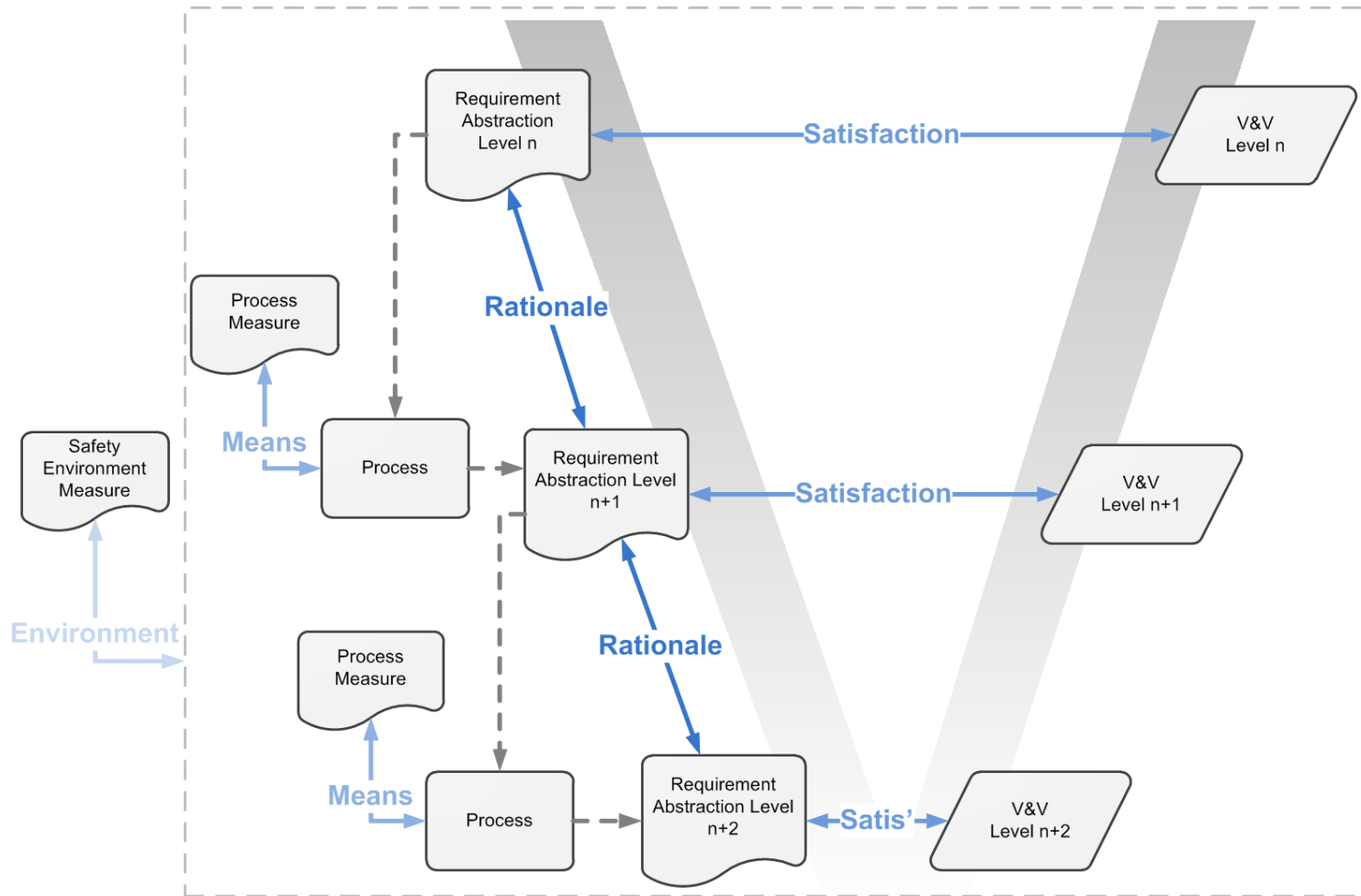


conekt

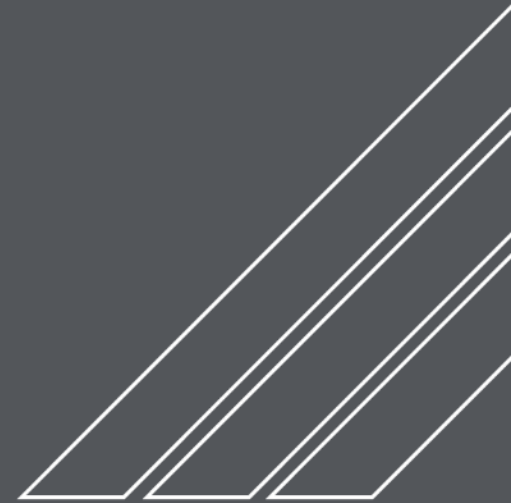
# MISRA Safety Case Guidelines Argument Model



# MISRA Safety Case Guidelines Argument Model



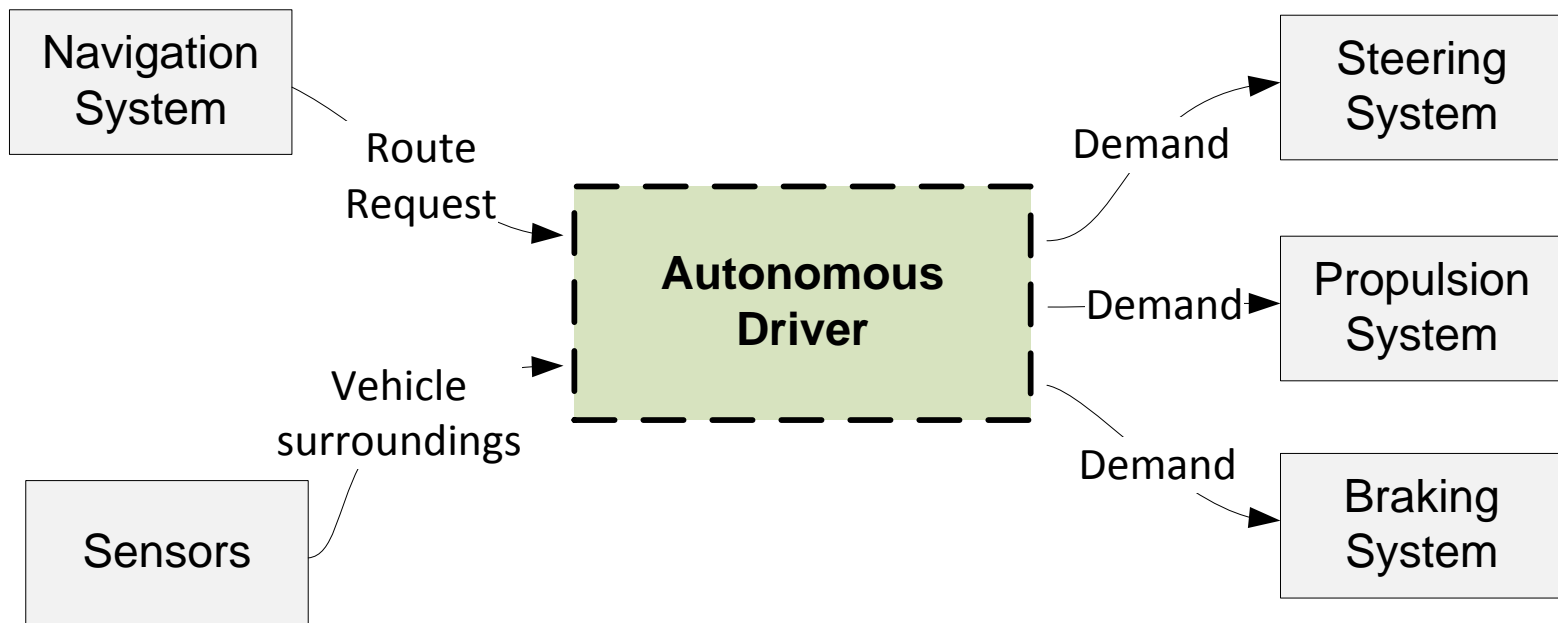
# Assurance Argument Framework





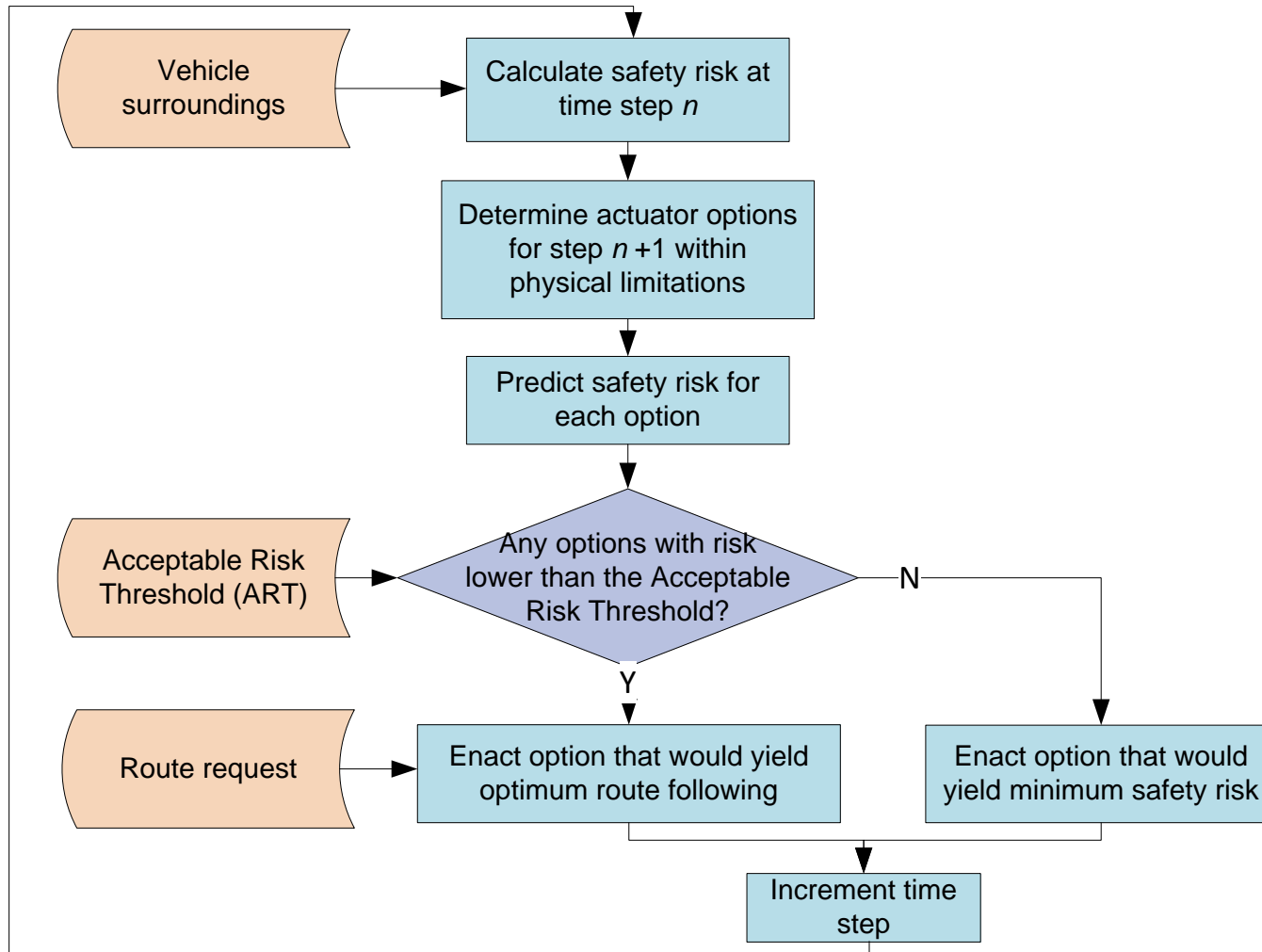
# Assurance Argument Framework

## Item Definition – Autonomous Driver



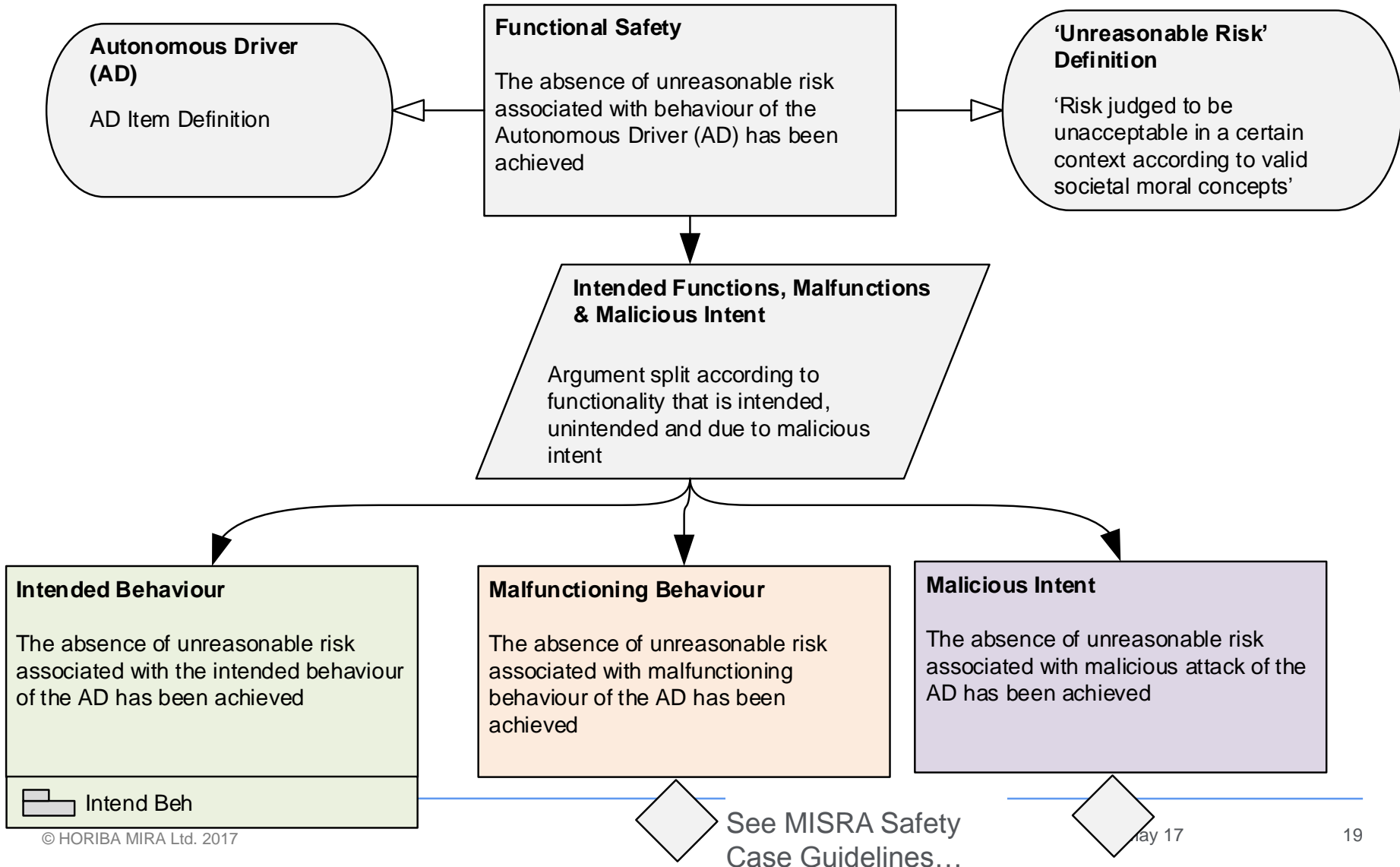
# Assurance Argument Framework

## Item Definition – Autonomous Driver



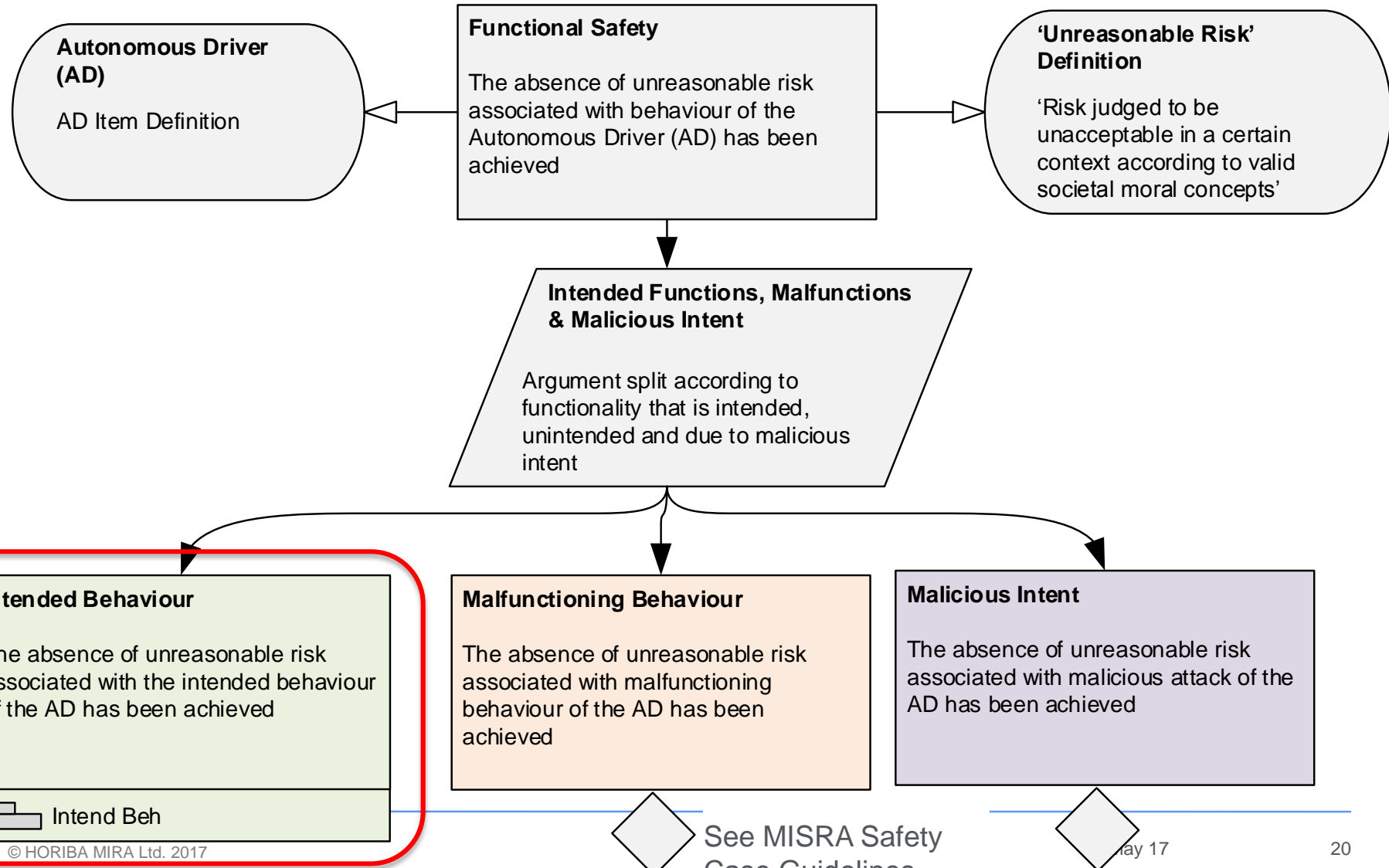
# Assurance Argument Framework

## Functional Safety



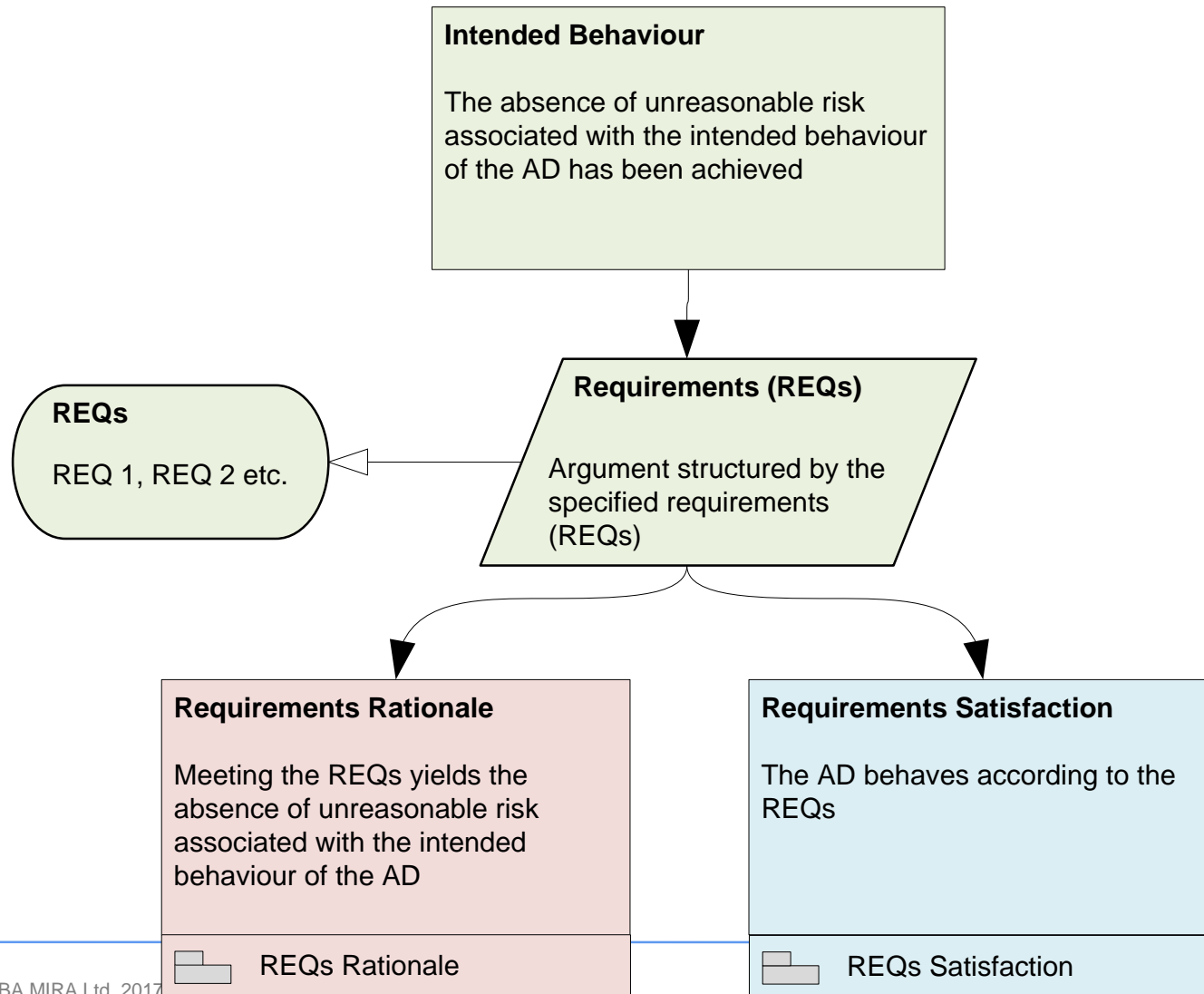
# Assurance Argument Framework

## Functional Safety



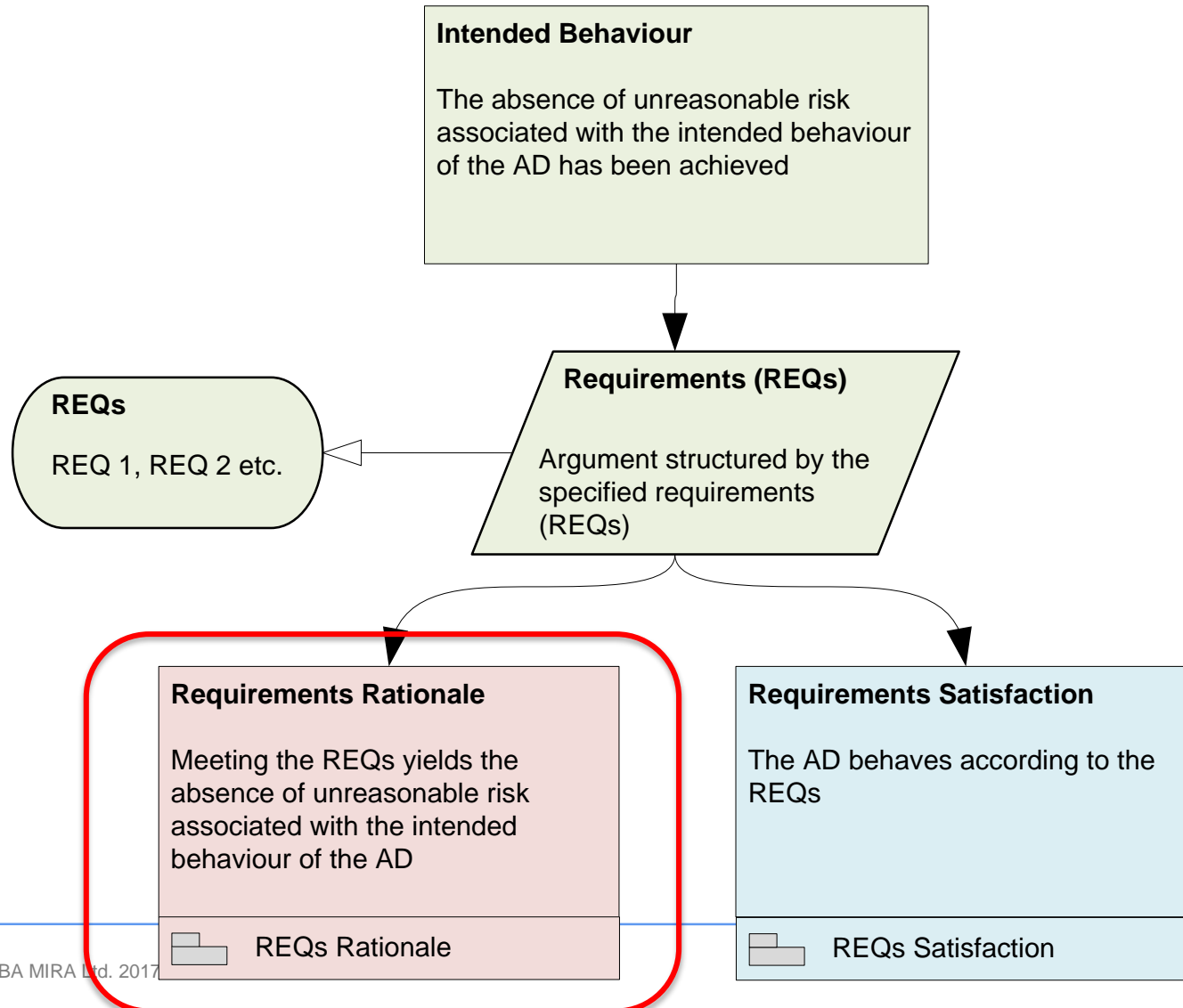
# Assurance Argument Framework

## Functional Safety – Intended Behaviour



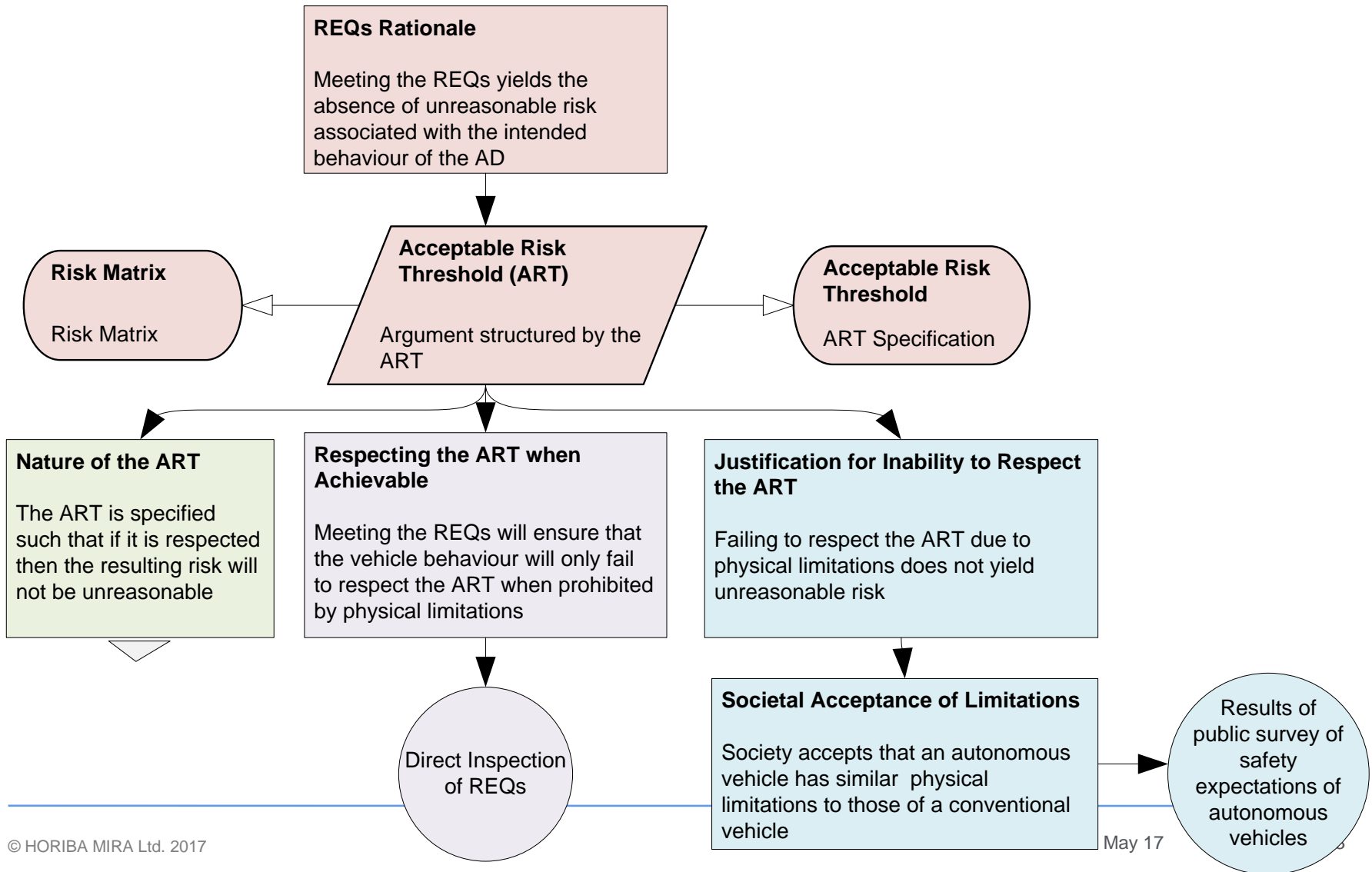
# Assurance Argument Framework

## Functional Safety – Intended Behaviour



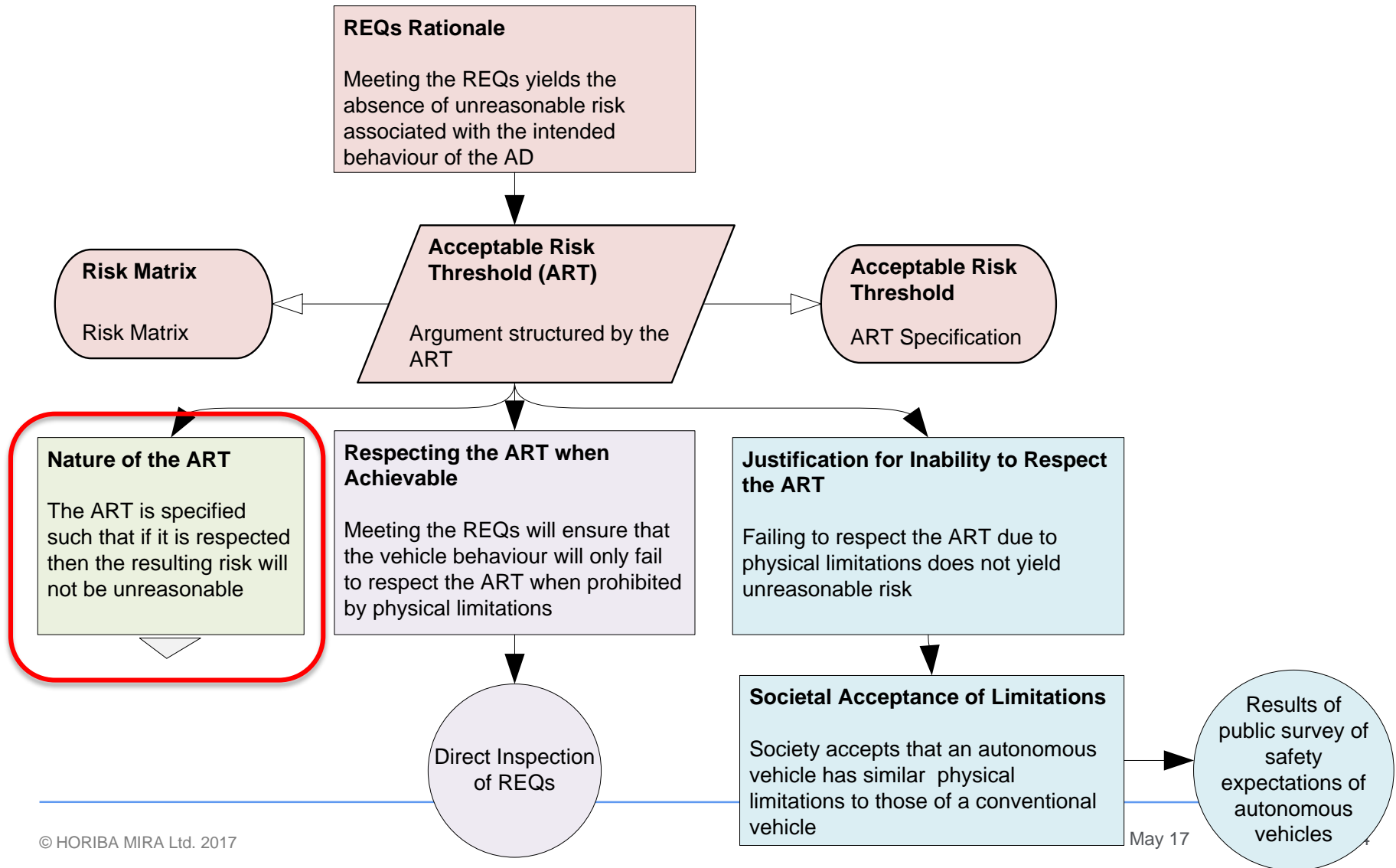
# Assurance Argument Framework

## Functional Safety – Intended Behaviour Rationale



# Assurance Argument Framework

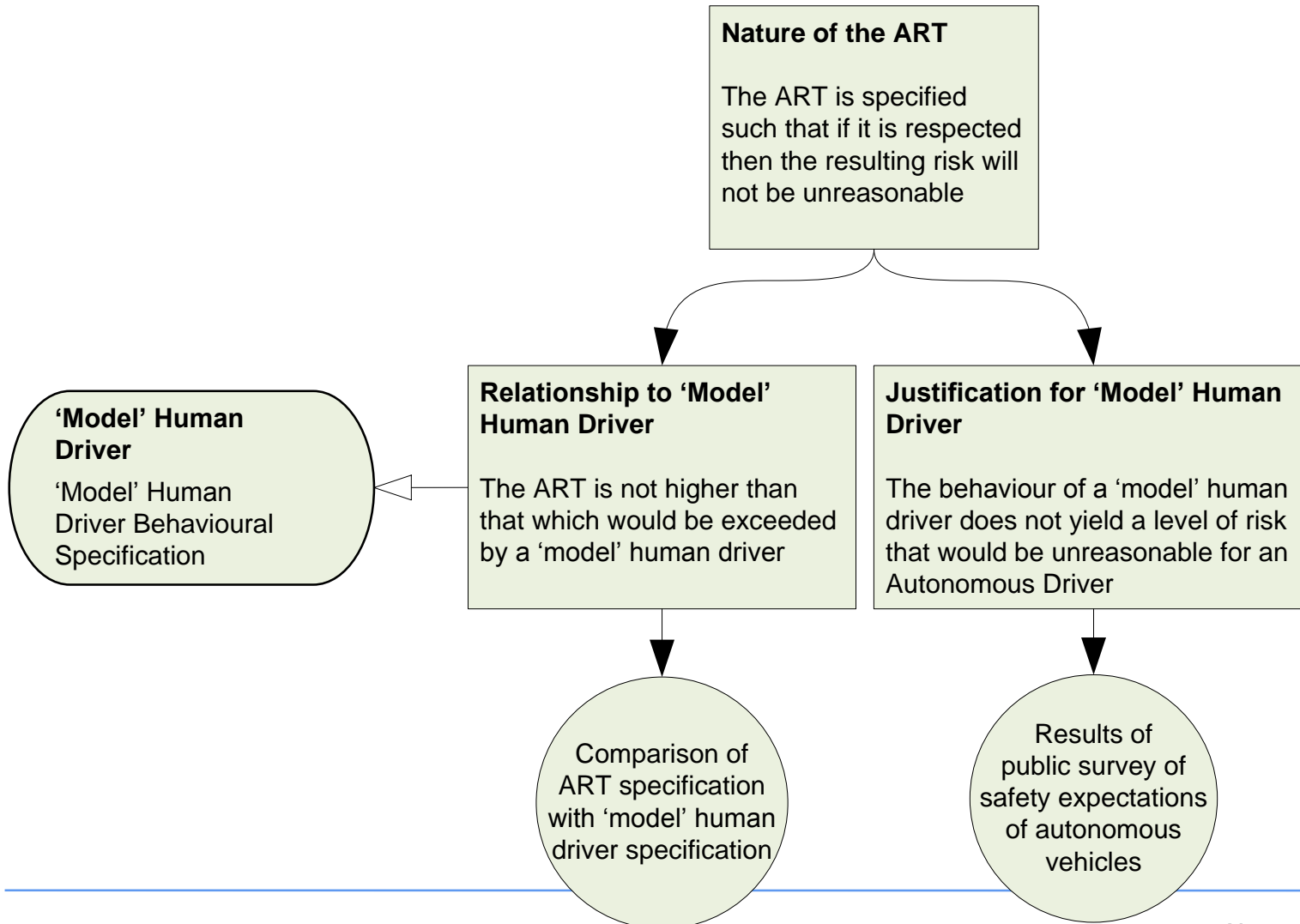
## Functional Safety – Intended Behaviour Rationale





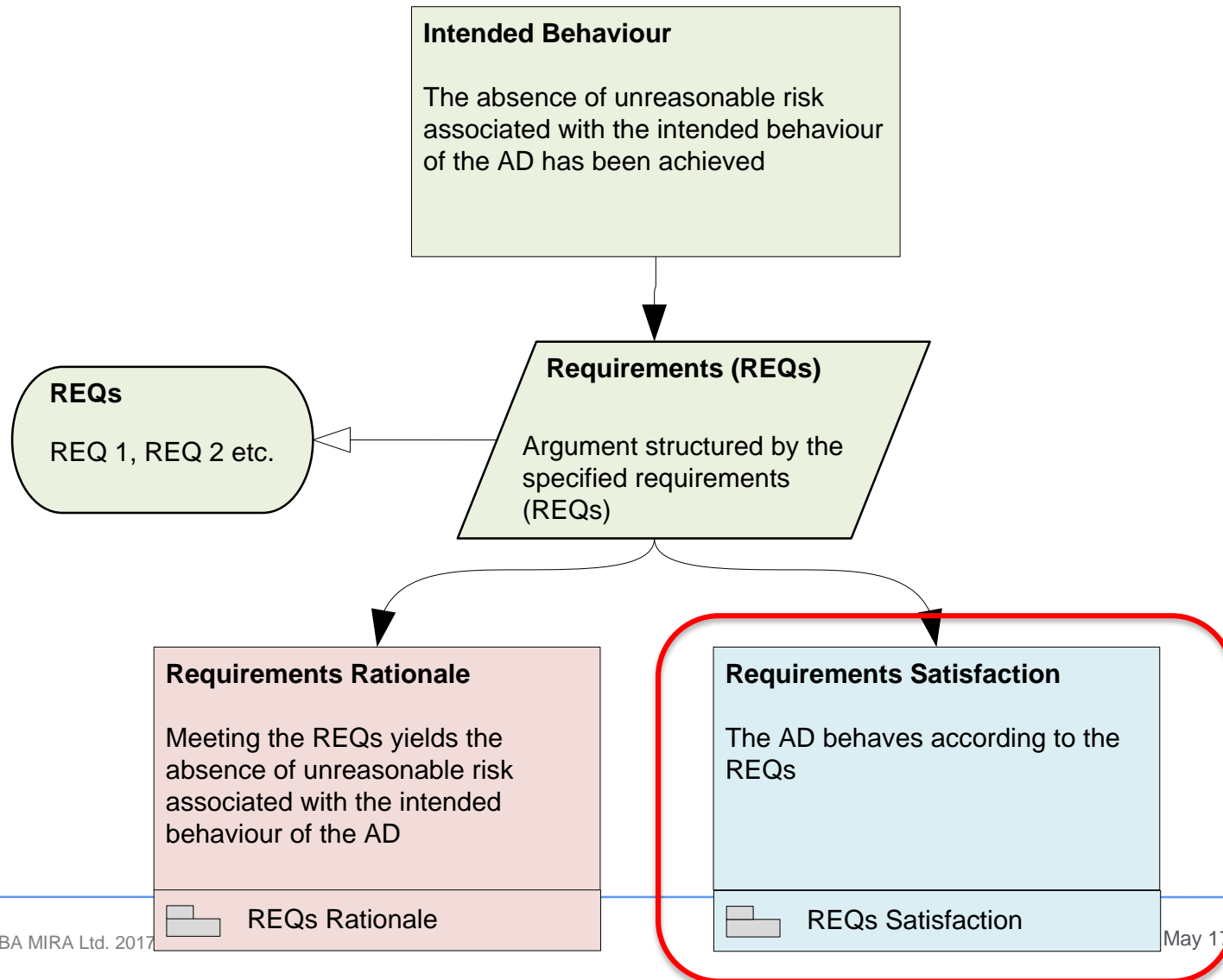
# Assurance Argument Framework

## Functional Safety – Intended Behaviour Rationale



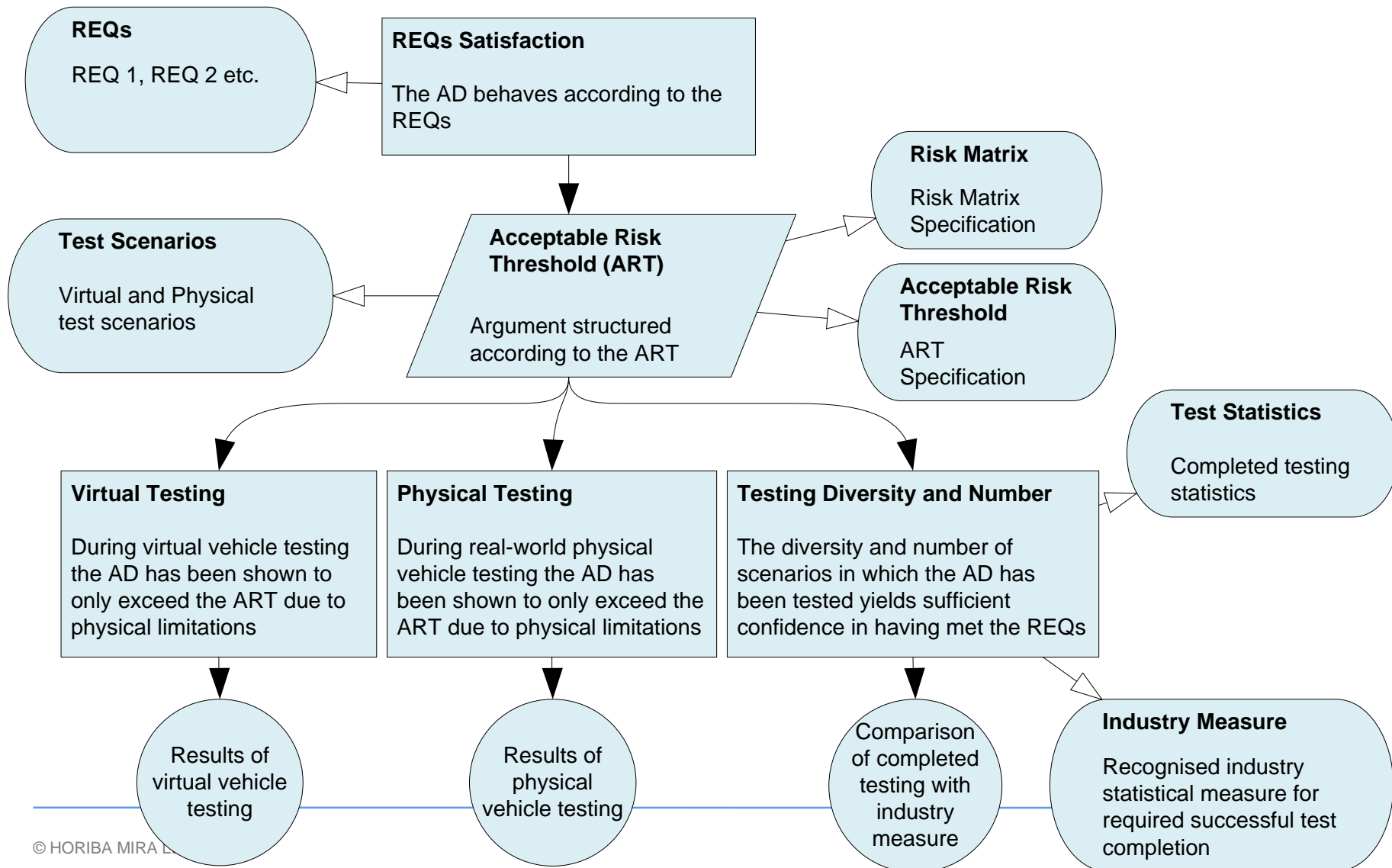
# Assurance Argument Framework

## Functional Safety – Intended Behaviour

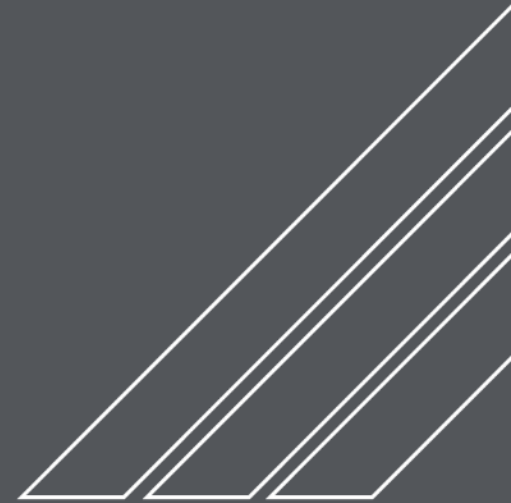


# Assurance Argument Framework

## Functional Safety – Intended Behaviour Satisfaction



# Concluding Remarks



# Concluding Remarks

---

- Safety for autonomy is multi-faceted and challenging
- Important to be able to show structured, explicit reasoning for achievement of safety, particularly to justify residual risk
- Argument may need to be pitched at a higher level of abstraction than would be the case for a 'conventional system'
- Dynamic safety cases may be required, but automation should not preclude thought!
- Argument likely to require philosophical and ethical reasoning as well as technical
- The devil is in the detail
- Complex problem – not claiming to have the final answer!

# Contact details



## John Birch

MEng CEng MIMechE

Chief Engineer, Functional Safety

Direct T: +44 (0)2476 355 415  
Mobile: +44 (0)7834 158049  
Email: [John.Birch@horiba-mira.com](mailto:John.Birch@horiba-mira.com)

HORIBA MIRA Ltd  
Watling Street,  
Nuneaton, Warwickshire,  
CV10 0TU, UK

T: +44 (0)24 7635 5000  
F: +44 (0)24 7635 8000

[www.horiba-mira.com](http://www.horiba-mira.com)